# EXHIBIT 1
## FINANCIAL DISCLOSURE FORM

This form is to be completed in accordance with the Institution’s Policy by 1) the Institution’s Investigators and 2) any Investigators at a Subrecipient that has elected to operate under the Institution’s Policy.

Investigator Name: ________________________________________________

I am reporting on activities: □ Covering the 12-month period ____/20___ to ____/20____
□ As an addendum to my most recent report

Name of External Entity (entity for which affiliation is creating a potential conflict of interest):

(Attach a separate page for each applicable External Entity)

<table>
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<tr>
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<th>Yes</th>
<th>No</th>
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| 1. Compensation | ☐ Yes ☐ No |  Have you or your Family received compensation (including salary, consulting fees, honoraria, board membership, etc.) from the External Entity?  
  Nature of the Compensation: ________________________________________________  
  Approximate value of compensation: _________________________________________  
  □ The value of the compensation cannot be reasonably determined. |
| 2. Equity Interest | ☐ Yes ☐ No |  Do you or your Family own stock or hold stock options in the External Entity? (Do not include investment in mutual funds or the like if the owner does not have direct control over the investment.)  
  External Entity is:  ☐ Publicly Traded  ☐ Privately Owned  
  Approximately value of the Equity Interest _____________________________  
  □ The value of the equity interest cannot be reasonably determined. |
| 3. Role | ☐ Yes ☐ No |  Do you or your Family serve as a director, trustee, officer, board member, or other key role in the External Entity? (Answer this question regardless of whether you receive compensation from the External Entity for your service.)  
  Role: ________________________________________________ |
| 4. Intellectual Property | ☐ Yes ☐ No |  Do you or your Family have rights to and/or receive royalties from intellectual property licensed to and/or owned by the External Entity? Intellectual property includes patents, copyrights, and trademarks but excludes academic or scholarly works.  
  Approximate value of royalties: _____________________________  
  □ The value of the royalties cannot be reasonably determined. |
| 5. Travel | ☐ Yes ☐ No |  Did the External Entity sponsor or reimburse your travel?  
  Purpose of trip: ________________________________________________  
  Destination: ________________________________________________  
  Duration: ________________________________________________  
  Approximate value of trip: _________________________________________ |
☐ The value of the trip cannot be reasonably determined.

Certification:
By signing this certification, I attest to the following:

- I have read and understand the Institution’s Financial Conflicts of Interest Policy.
- I have completed all training required by the Policy.
- All information contained herein is true, accurate, and correct to the best of my knowledge.
- I will comply and cooperate with any further requests from the Institution for information or clarification regarding the information I have provided herein.
- If required, I will comply with any conditions or restrictions imposed by the Institution to manage any real or perceived Financial Conflicts of Interest.
- I will submit an updated version of this form at least annually, as required by the Policy.
- Should my outside Financial or Fiduciary Interests, or those of my Family, change in a way that results in different answers to any of the questions asked in this form, I agree to submit a revision within thirty (30) days.

Investigator Signature  ________________________________  Date  ________________________________

FINANCIAL DISCLOSURE REVIEW
To be completed by Institutional Official or COI Committee.

☐ No financial or fiduciary relationships disclosed.

☐ The Financial Interest disclosed does not meet the Significant Financial Interest threshold.

☐ The Financial Interest constitutes a Significant Financial Interest.
   ☐ The Significant Financial Interest is related to PHS-funded Research.
   ☐ The Significant Financial Interest constitutes a Financial Conflict of Interest. A Management Plan will be established for this Financial Conflict of Interest.